

# How to prepare a COST proposal

COST Information session May 4<sup>th</sup>, 2021 Christer Halen, Senior Administrator Science Operations





Funded by the Horizon 2020 Framework Programme of the European Union

# **COST Open Call**

- Open to all scientific and technological (S&T) fields (bottom-up) and all type of institutions (academia, private, public,...)
- Promote scientific, technological, economic, cultural and societal innovation in Europe (Impact)
- Reduce the gap between scientists, policy makers and society in Europe & beyond



# **COST Actions aim at**

- Promoting the creation, exchange and application of scientific knowledge
- Being open and output oriented
- Responding to COST Excellence and Inclusiveness Policy :
  - ✓ Geographical balance
  - ✓Age balance
  - ✓ Gender balance



#### How does it work?









# **Open Call for proposals – how to apply**

## e-COST

- Create a COST account : https://www.cost.eu/ecost
- Open Call > Proposals > Create new proposal



 Online registration and submission : General information + Technical Annex



#### **General features**

# Proposals





## **Online submission tool (e-COST)\***

IN SCIENCE & TECHNOLOGY

Overview			Proposal Reference: OC-2017-1-21892
General Features	Main Proposer Institution *	Brussels Free University - COST Country Institutions	✓ Proposal Status:
Technical Annex	Title (Maximum 12 words) *	Organ Donor Network	Draft
References	Acronym *	OrgDonNet	Checklist:
COST Mission and Policies	(max 60 characters)		✓ General Features
Network	Keyword 1*	Database	χ Technical Annex (1 issue)
Documents	(max 60 characters)		✓ COST Policies
« My Proposals	Keyword 2* (max 60 characters)	Transplantation	χ Network (1 issue)
	Keyword 3* (max 60 characters)	Donour	Generate PDF
	About five <u>percent</u> European ci effective treatment and the alt 50% of living donors are incom developed organ exchange pro	× <sup>2</sup> Ω ⋮Ξ ἘΞ Ξ Ξ Ⅲ ▼ Σ tizens suffers from organ malfunctioning. Living donor transplantation is often the ernative of deceased donor transplantation is severely limited by availability. As a spatible with their specified recipient, several European countries have independen	pproximately tly
	AREAS OF EXPERTISE NEEDED FOR EVALUATION		
	Science Field	Health Sciences	↓ v
	Research Area	Health Services, Health Care Research	~
		*www.cost.	eu/ecost

#### **General features**

#### **Technical Annex**

- 1. S&T excellence
- 2. Networking Excellence

3. Impact

4. Implementation

# Proposals





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# **Open Call for proposals – Technical Annex**

Sections	Sub-sections
1. S&T EXCELLENCE	<ul><li>1.1 soundness of the challenge</li><li>1.2 progress beyond the state-of-the-art</li></ul>
2. NETWORKING EXCELLENCE	<ul><li>2.1 added value of networking in S&amp;T excellence</li><li>2.2 added value of networking in impact</li></ul>
3. IMPACT	<ul><li>3.1 impact to science, society and competitiveness, and potential for innovation/breakthroughs</li><li>3.2 measures to maximise impact</li></ul>
4. IMPLEMENTATION	4.1 coherence and effectiveness of the work plan

- Downloadable Word template
- Max. 15 pages
- Diagrams and figures accepted









# **COST Excellence and Inclusiveness Policy**

Promoting:

- geographical balance (ITC)
- age balance (young researchers)
- gender balance throughout its activities



# **COST Excellence and Inclusiveness Policy**

#### Objectives

- identifying excellence in science and technology across Europe
- increasing research communities' access to funding and infrastructures
- triggering structural changes in COST Member States' national research systems







#### Evaluation – SESA

Results ~8 months after submission **Eligibility check** 

anonymity, format, minimum 50% participation of ITC, peaceful purpose, English

Step 1: Remote evaluation

by independent External Evaluators

Step 2: Revision and quality check by Review Panels

Step 3: Selection by the Scientific Committee COST policy and objectives

Step 4: Approval by COST Committee of Senior Officials



## **Step 1: External Remote Evaluation**

# Proposals are evaluated only on the basis of the evaluation criteria

S&T EXCELLENCE	NETWORKING EXCELLENCE	IMPACT	IMPLEMENTATION	
<ul> <li>Soundness of the Challenge</li> <li>Progress beyond the state-of-the-art</li> </ul>	<ul> <li>Added value of networking in S&amp;T Excellence</li> <li>Added value of networking in Impact</li> </ul>	<ul> <li>Impact to science, society and competitiveness, and potential for innovation/break- throughs</li> <li>Measures to maximise impact</li> </ul>	<ul> <li>Coherence and effectiveness of the work plan</li> </ul>	
3 questions	3 questions	3 questions	1 question	
Total marks for the section = <b>15 points</b>	Total marks for the section = <b>15 points</b>	Total marks for the section = <b>15 points</b>	Total marks for the section = <b>5 points</b>	
TOTAL MARKS AWARDED = 0 - 50 points OVERALL THRESHOLD = 34 points				



#### The COST Open Call – Applicant's Guidelines (Submission, Evaluation, Selection and Approval -SESA)

November 2020

Open call oc-2021-1

#### Scientific evaluation

Read very carefully the 10 evaluation questions on pages 26-27 of the SESA Guidelines

## Open Call for proposals – 10 questions S&T EXCELLENCE (15 pnts)

- Q1. Does the proposal demonstrate a comprehensive command of the state of the art in the field and present a relevant and timely challenge?
- Q2. Does the proposal describe an innovative approach to the challenge that advances the state of the art in the field?
- Q3. Are the objectives presented relevant to the challenge, clear and ambitious?





## **Open Call for proposals – 10 questions NETWORKING EXCELLENCE (15 pnts)**

- Q4. Does networking bring added value in tackling the challenge in relation to existing efforts at the European and/or international level?
- Q5. Does the proposed network contain, or present a credible plan for securing, the critical mass and expertise for achieving the objectives and thus addressing the challenge?
- Q6. Does the proposal identify the most relevant stakeholders and present a clear plan to involve them as Action's participants?



#### Open Call for proposals – 10 questions IMPACT (15 pnts)

- Q7. Does the proposal clearly identify relevant and realistic impacts for science, society and/or competitiveness (including potential innovations and/or breakthroughs)?
- Q8. Does the proposed networking clearly contribute to knowledge creation, transfer of knowledge and career development?
- Q9. Is the plan for dissemination and/or exploitation of results clear and attainable and does it contribute to the dialogue between science and the general public or policy?



#### Open Call for proposals – 10 questions IMPLEMENTATION (5 pnts)

Q10. Is the work plan (WGs, tasks, activities, timeframe, deliverables and risk analysis) appropriate to ensure the achievement of the objectives?





#### **Anonymity criterion**

- Most recent Open Call : 44 non-eligible proposals of which 42 due to a breach of anonymity
- Proposals may not contain any direct or indirect reference to people and/or institutions participating in the Network of Proposers (Main or Secondary Proposers).

This means that proposers' or institutions' names should **neither be explicitly mentioned**, **nor be potentially identifiable** through links to web pages or through references to their role and/or participation in existing or ended projects, grants, networks.

- E.g. do not make statements such as
- several members of the proposer network have been involved in previous FP7 projects, like ATTPS and ADAPTIWALL, and COST Actions, such as FP0901



## Some examples of anonymity breaches (1)

- "A number of business enterprises are involved in the network : XXX [Member State] and YYYY [Member State], belonging to the top international players": direct reference
- "Among government-run public services we have XXXX on board": direct reference
- Proposers' names in bold in the references section, "Smith A & Jones B (2020), Journal for xxxxxxxxxx": direct reference
- "The consortium has already generated some output<sup>1</sup>", with in a footnote a link to a youtube video or webpage in which secondary proposers could be identified : indirect reference, potentially identifiable



## Some examples of anonymity breaches (2)

- Proposal written from the point of view of an existing network, with which several of the proposers were affiliated
  - "The resources of XXXXX are limited and therefore XXXXX cannot invest as needed to achieve a large-scale network like we propose here" [...]
  - "After the Action's lifetime, the output will be available for all members of XXXXX" [...]
  - "Final dissemination workshop will take place at XXXXX"

>potentially identifiable and indirect reference



## Some examples of anonymity breaches (3)

- "the Main Proposer is also responsible of a XXXX project on xxxxxxxxxxxxx (H2020-XXXX-XXX-20XX, XXXXXXX)": indirect reference
- The Technical Annex referring to the expertise of the network members through specific publications ; the authors of these publications come from a specific institution participating in the proposal : indirect reference
- "A website will be produced for the proposed Action by the University of Xxxx": indirect reference





## Some examples of anonymity breaches (4)

SESA Guidelines : exception on anonymity requirement :

"In section 2.2.1 and 2.2.3 "Mutual Benefits" of the "Technical Annex". If you include a secondary proposer from a […] Specific Organisation, you can mention in this section the […] Specific Organisation proposer when describing the mutual benefit deriving from their participation

Specific Organisations	The European Commission, EU bodies, offices and agencies, the European RTD Organisations and International Organisations.
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- Misinterpretation of "International organisation", see COST Vademecum !
- "Any Intergovernmental Organisation governed by international law. Organisations qualifying under the present Decision as European RTD organisations or EU bodies, officer, or agencies shall not be considered International Organisation in the COST framework."



## **Open Call for proposals – how to apply**

- Read the official announcement
- Read the SESA Guidelines
- Online registration and submission (e-COST)
- Technical Annex template
- Helpdesk: <u>opencall@cost.eu</u>



# **COST Open Call**



Who can participate

# Open Call: A simple application process

Home > Funding > How to get funding > Open Call

Participants are invited to submit COST Action proposals contributing to the scientific, technological, economic, cultural or societal knowledge advancement and development of Europe. Multi- and interdisciplinary proposals are encouraged.

The Open Call Action proposal submission, evaluation, selection and approval (SESA) procedure is fully science and technology-driven and will ensure a simple, transparent and competitive proposal evaluation and selection process, reflecting the bottom-up, open and inclusive principles of COST.

Participants planning to submit a proposal for a COST Action will need to refer to the SESA guidelines and to the Open Call Announcement on the Documents and Guidelines page.

For any questions related to the open call and how to get funding, please contact **opencall@cost.eu** 





Evaluation, selection and approval

(CET).

e-COST

The next collection date is 29

October 2021 at 12:00 noon

Find out how to join an

existing COST Action

Documents and guidelines

:::

# **COST Open Call documents**

#### https://www.cost.eu





# **COST Open Call documents**

EUROPEAN COOPERATION IN SCIENCE & TECHNOLOGY



# **COST Open Call documents**

#### https://www.cost.eu

IN SCIENCE & TECHNOLOGY



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- Join us on our social networks:



The opportunity for science lies in creating an unlimited space where crossovers between ideas and people can change the world for the better.

